

Variations on a G -theme: The G -Shi arrangement, and its relation to G -parking functions

Art Duval¹ Caroline Klivans² Jeremy Martin³

¹University of Texas at El Paso

²University of Chicago

³University of Kansas

Mathematics Colloquium
Texas A&M University at Galveston
September 10, 2010

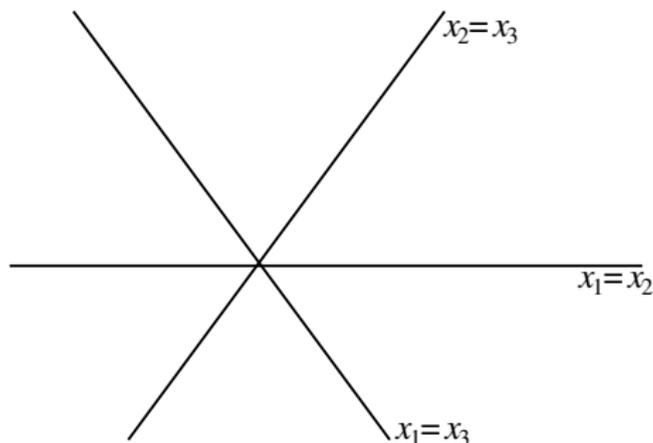
Hyperplane arrangements

In \mathbb{R}^n , a finite collection, or **arrangement**, \mathcal{A} of hyperplanes partition the complement of \mathcal{A} into a finite number of regions. For many naturally defined arrangements, the number of regions is interesting.

Braid arrangement

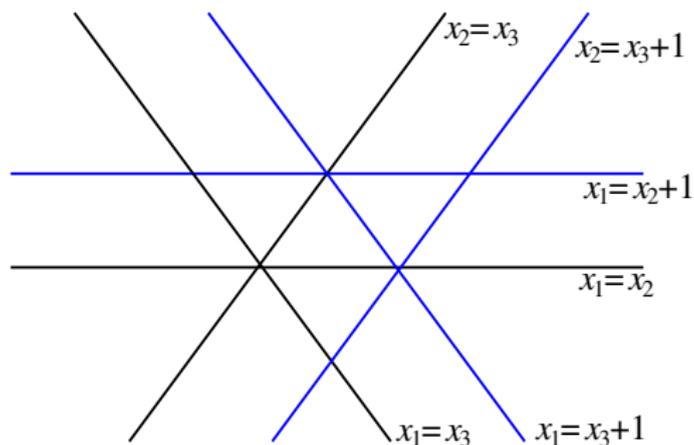
$$\{x_i = x_j : 1 \leq i < j \leq n\}$$

has $n!$ regions, one for every total ordering of the variables x_1, \dots, x_n .



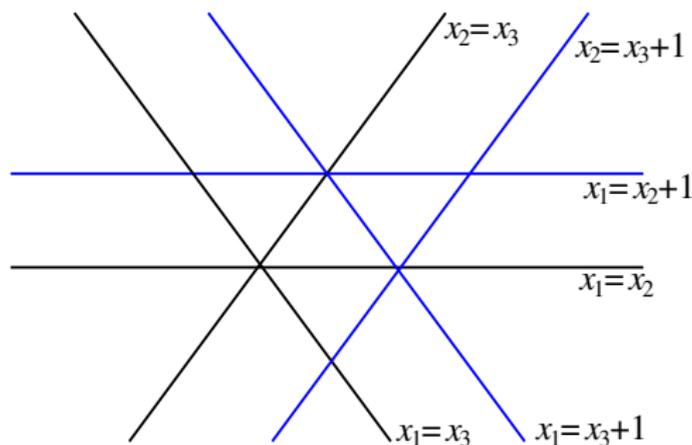
Shi arrangement

$$\mathcal{S}_n := \{x_i = x_j, x_i = x_j + 1 : 1 \leq i < j \leq n\}$$



Shi arrangement

$$\mathcal{S}_n := \{x_i = x_j, x_i = x_j + 1 : 1 \leq i < j \leq n\}$$



Theorem (Shi, '86)

The Shi arrangement \mathcal{S}_n has $(n+1)^{n-1}$ regions.

Spanning trees

Definition

A set T of edges of a graph $G = (V, E)$ is a **spanning tree** of G if (the endpoints of) T contains all of V and [equivalently, any two of the following three]:

- ▶ T has no cycles
- ▶ T is connected
- ▶ $|T| = |V| - 1$



Cayley's theorem



For K_4 , there are

Cayley's theorem



For K_4 , there are

- ▶ 4 star spanning trees

Cayley's theorem



For K_4 , there are

- ▶ 4 star spanning trees
- ▶ 12 path spanning trees

Cayley's theorem



For K_4 , there are

- ▶ 4 star spanning trees
- ▶ 12 path spanning trees

for a total of $16 = 4^2$ spanning trees

Cayley's theorem



For K_4 , there are

- ▶ 4 star spanning trees
- ▶ 12 path spanning trees

for a total of $16 = 4^2$ spanning trees

Theorem (Cayley)

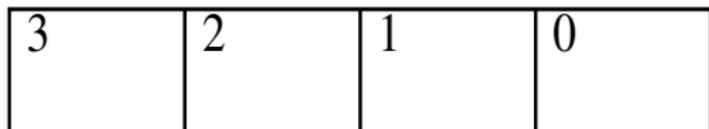
The complete graph K_{n+1} on $n + 1$ vertices has $(n + 1)^{n-1}$ spanning trees.

Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$

Example

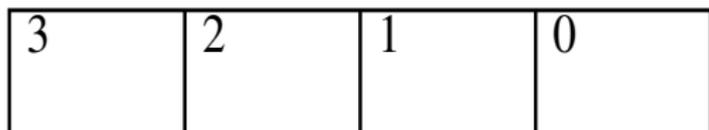


Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order

Example



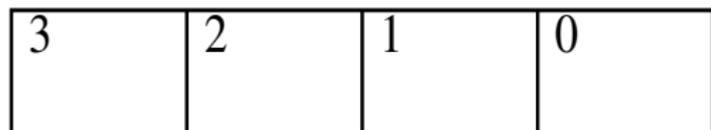
Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order
- ▶ car i has favorite parking spot $f(i)$

Example

1120



Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order
- ▶ car i has favorite parking spot $f(i)$
- ▶ car i goes first to spot $f(i)$...

Example

1120



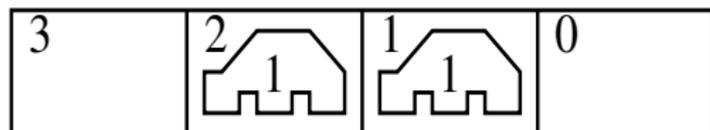
Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order
- ▶ car i has favorite parking spot $f(i)$
- ▶ car i goes first to spot $f(i)$...
- ▶ ...if that spot is full, takes next available spot

Example

1120



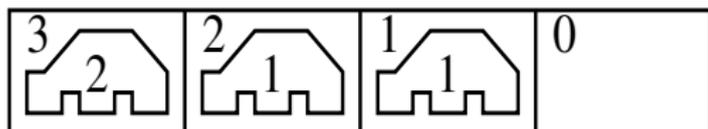
Parking functions

Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order
- ▶ car i has favorite parking spot $f(i)$
- ▶ car i goes first to spot $f(i)$...
- ▶ ...if that spot is full, takes next available spot

Example

1120



Parking functions

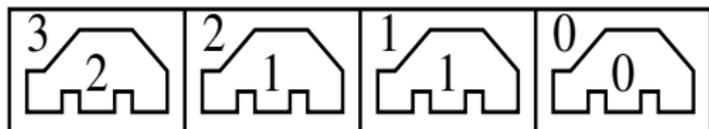
Definition

- ▶ parking spots $0, \dots, n - 1$
- ▶ cars $1, \dots, n$ arrive in order
- ▶ car i has favorite parking spot $f(i)$
- ▶ car i goes first to spot $f(i)$...
- ▶ ...if that spot is full, takes next available spot

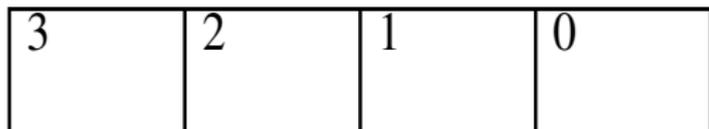
If such a function f allows all the cars to park, it is a **parking function**. [Note that indexing is sometimes different.]

Example

1120



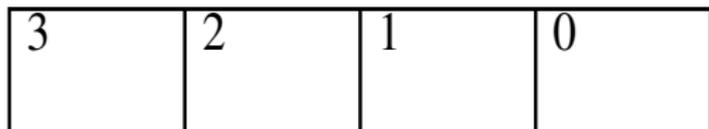
Which functions are parking functions?



Example

Easy: All 0's;

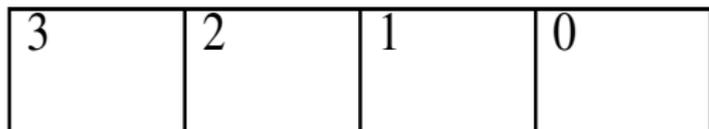
Which functions are parking functions?



Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Which functions are parking functions?



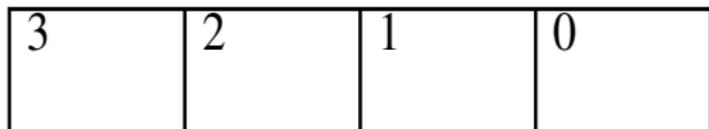
Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003,

Which functions are parking functions?



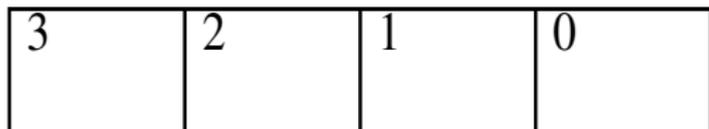
Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003, 2230,

Which functions are parking functions?



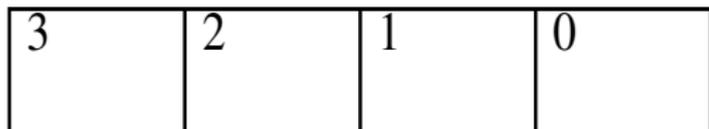
Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003, 2230, 1121

Which functions are parking functions?



Example

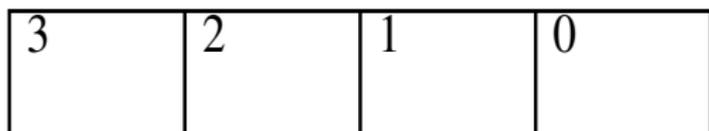
Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003, 2230, 1121

Necessary: Fewer than i cars whose value is greater than $n-i$

Which functions are parking functions?



Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003, 2230, 1121

Necessary: Fewer than i cars whose value is greater than $n-i$

Equivalently, when values $f(i)$ rearranged in increasing order, $f(i) < i$. (f is “componentwise” less than permutation of $0, \dots, n-1$.)

Which functions are parking functions?

3	2	1	0
---	---	---	---

Example

Easy: All 0's; any permutation of $0, \dots, n-1$.

Example

These are not parking functions: 3003, 2230, 1121

Necessary: Fewer than i cars whose value is greater than $n-i$

Equivalently, when values $f(i)$ rearranged in increasing order, $f(i) < i$. (f is “componentwise” less than permutation of $0, \dots, n-1$.)

This is sufficient, too (making values less only makes it easier to park).

How many are there?

$n=2$: 00, 01, 10

How many are there?

$n=2$: 00, 01, 10

$n=3$: 000, 001, 010, 100, 011, 101, 110, 002, 020, 200, 012, 021,
102, 120, 201, 210

How many are there?

$n=2$: 00, 01, 10

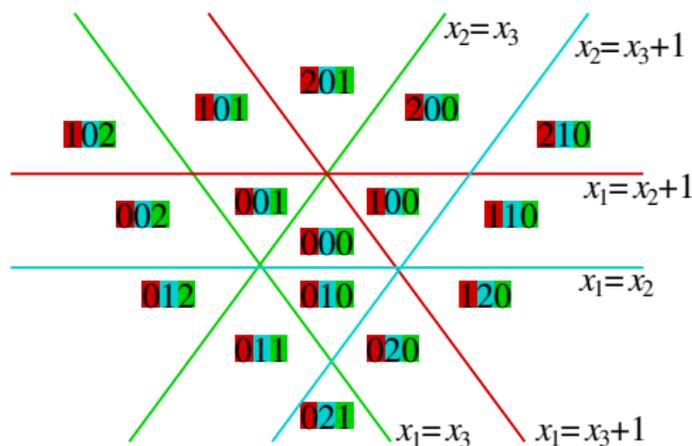
$n=3$: 000, 001, 010, 100, 011, 101, 110, 002, 020, 200, 012, 021,
102, 120, 201, 210

Theorem (Pyke, '59; Konheim and Weis, '66)

There are $(n + 1)^{n-1}$ parking functions.

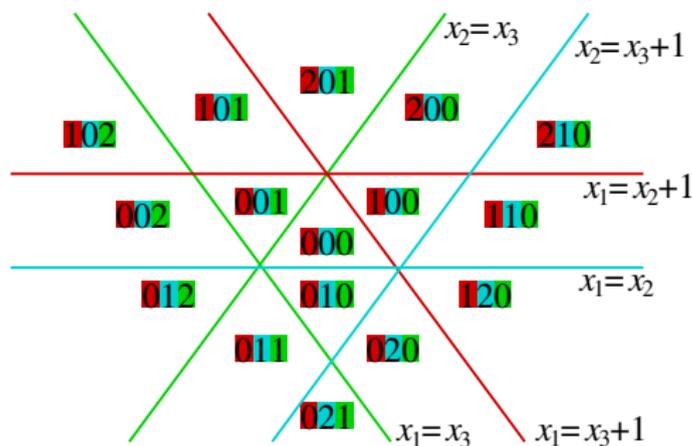
Pak-Stanley labelling

Pak and Stanley found a labelling of the regions of the Shi arrangement so that each region gets a different label,



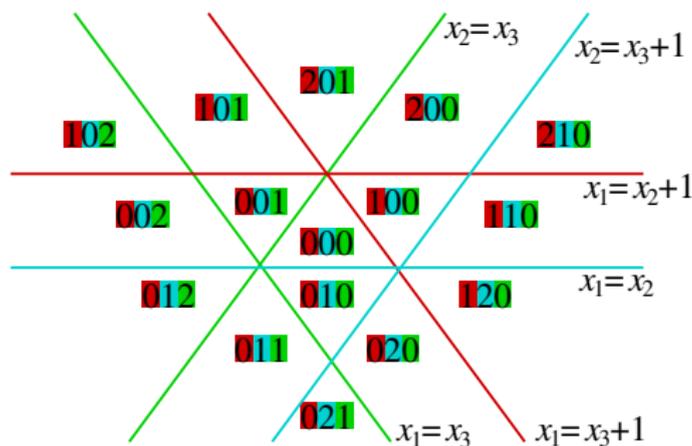
Pak-Stanley labelling

Pak and Stanley found a labelling of the regions of the Shi arrangement so that each region gets a different label, and each label is a parking function!



Pak-Stanley labelling

Pak and Stanley found a labelling of the regions of the Shi arrangement so that each region gets a different label, and each label is a parking function!



Athanasiadis and Linusson have alternate (easier) bijection between parking functions and Shi regions.

Question

Summary:

- ▶ The labels of regions of the Shi arrangement \mathcal{S}_n are given by the parking functions on n cars.

Question

Summary:

- ▶ The labels of regions of the Shi arrangement \mathcal{S}_n are given by the parking functions on n cars.
- ▶ The number of elements in either set equals the number of spanning trees of the complete graph K_{n+1} .

Question

Summary:

- ▶ The labels of regions of the Shi arrangement \mathcal{S}_n are given by the parking functions on n cars.
- ▶ The number of elements in either set equals the number of spanning trees of the complete graph K_{n+1} .

How much of this generalizes to arbitrary graphs? What does that even mean?

Restating parking function definition

Recall the original necessary and sufficient condition:

Fewer than i cars whose value is greater than $n - i$.

Restating parking function definition

Recall the original necessary and sufficient condition:

Fewer than i cars whose value is greater than $n - i$.

Restate this as:

In any set of i cars,
there is at least one whose value is at most $n - i$.

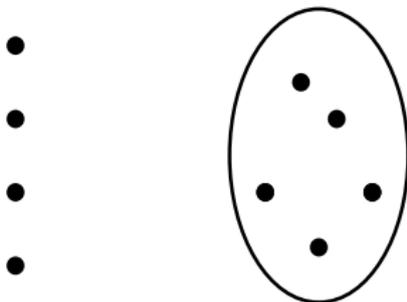
Restating parking function definition

Recall the original necessary and sufficient condition:

Fewer than i cars whose value is greater than $n - i$.

Restate this as:

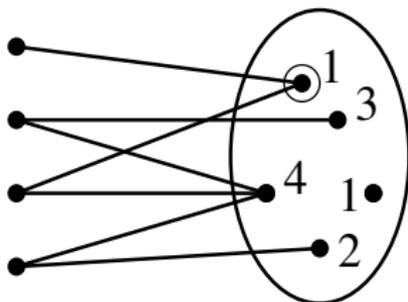
In any set of i cars,
there is at least one whose value is at most $n - i$.



G-parking functions

Definition

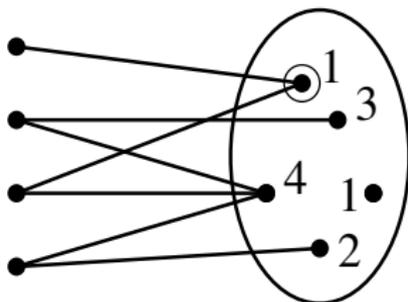
Given a graph $G = (V, E)$, a function $f: V \rightarrow \mathbb{Z}^{\geq 0}$ is a **parking function** if, in any set $U \subseteq V$ of vertices, there is at least one vertex v such that $f(v)$ is at most the \bar{U} -degree of v , the number of neighbors of v outside of U .



G-parking functions

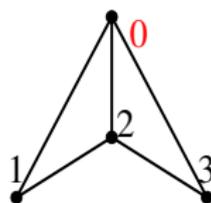
Definition

Given a graph $G = (V, E)$, with root q , a function $f: V \setminus q \rightarrow \mathbb{Z}^{\geq 0}$ is a **parking function** if, in any set $U \subseteq V \setminus q$ of vertices, there is at least one vertex v such that $f(v)$ is at most the \bar{U} -degree of v , the number of neighbors of v outside of U .



Note that if $G = K_{n+1}$ we get classical parking functions on n cars.

Example



0	0	0
0	0	1
0	1	0
1	0	0
0	1	1
1	0	1
1	1	0
0	2	0

Critical group

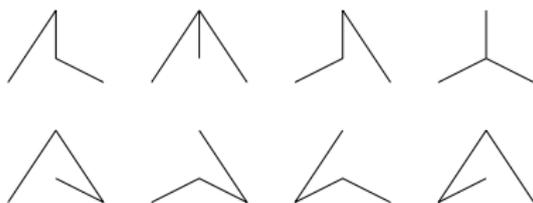
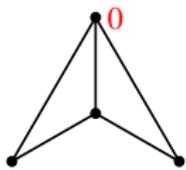
Where does this come from?

In the chip-firing game, every vertex (except the root vertex) of a graph has a number of chips. A vertex may “fire”, sending one chip to every neighbor, as long as it has enough chips to do so. If we consider the set of all arrangements of chips, but declare two arrangements to be equivalent if you can get from one to the other by a series of firings, we get a quotient group, called the critical group.

Theorem (Dhar, '90)

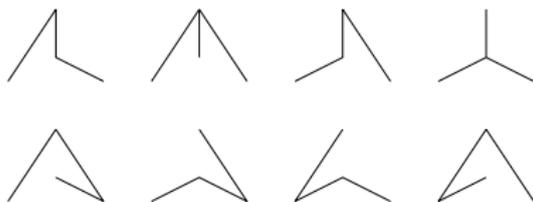
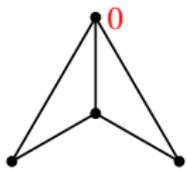
The set of G -parking functions form a particularly nice set of representatives of the critical group. The order of this group is the number of spanning trees of G .

Example



0	0	0
0	0	1
0	1	0
1	0	0
0	1	1
1	0	1
1	1	0
0	2	0

Example



0	0	0
0	0	1
0	1	0
1	0	0
0	1	1
1	0	1
1	1	0
0	2	0

Our motivation was to generalize this result to higher dimensions (parking functions, critical group, spanning trees).

Question

How will we generalize the Shi arrangement to an arbitrary graph $G = (V, E)$?

Question

How will we generalize the Shi arrangement to an arbitrary graph $G = (V, E)$?

Recall, in complete graph case, the labels of regions of the Shi arrangement \mathcal{S}_n are given by the parking functions on n cars. The number of elements in either set equals the number of spanning trees of the complete graph K_{n+1} .

Question

How will we generalize the Shi arrangement to an arbitrary graph $G = (V, E)$?

Recall, in complete graph case, the labels of regions of the Shi arrangement \mathcal{S}_n are given by the parking functions on n cars. The number of elements in either set equals the number of spanning trees of the complete graph K_{n+1} .

For an arbitrary graph, we now have the number of spanning trees of G equals the number of G -parking functions. What about the Shi arrangement?

Graphical arrangement

Start with braid arrangement, but include only hyperplanes corresponding to edges in graph:

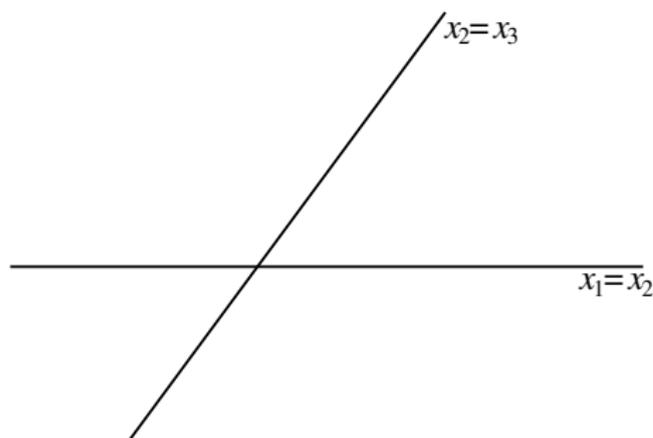
$$\{x_i = x_j : i < j; \{i, j\} \in E\}$$

Graphical arrangement

Start with braid arrangement, but include only hyperplanes corresponding to edges in graph:

$$\{x_i = x_j : i < j; \{i, j\} \in E\}$$

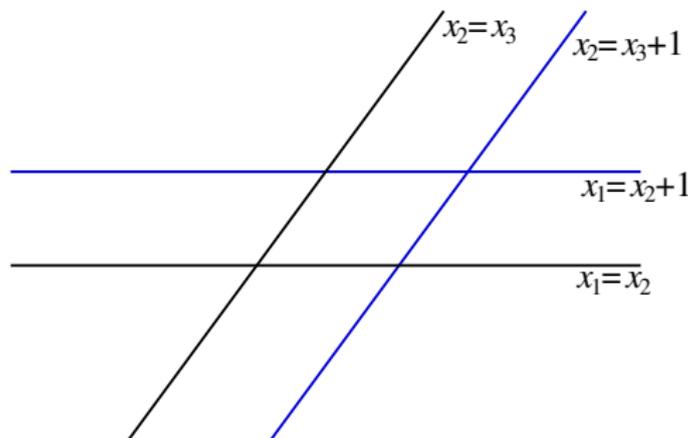
Example



G-Shi arrangement

If we combine the ideas of the graphical arrangement and the Shi arrangement, we get

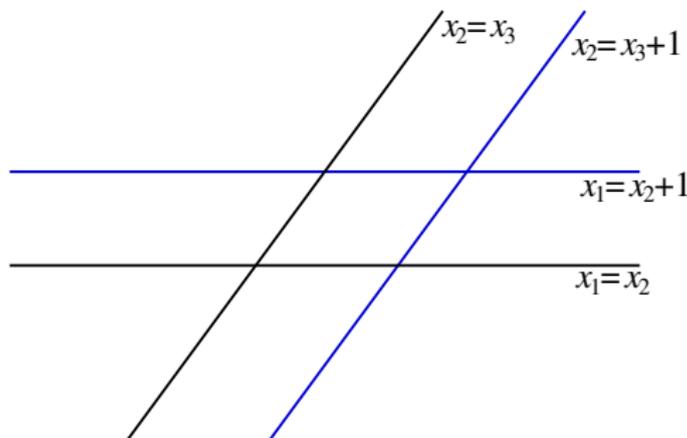
$$\{x_i = x_j, x_i = x_j + 1 : i < j; \{i, j\} \in E\}$$



G-Shi arrangement

If we combine the ideas of the graphical arrangement and the Shi arrangement, we get

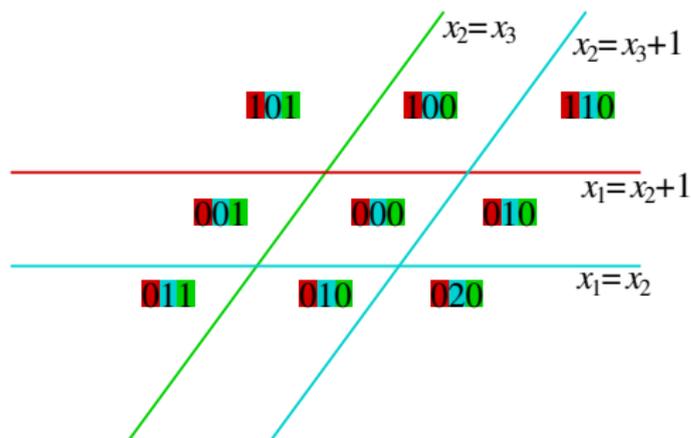
$$\{x_i = x_j, x_i = x_j + 1 : i < j; \{i, j\} \in E\}$$



But this has 9 regions, and there are only 8 spanning trees and 8 parking functions.

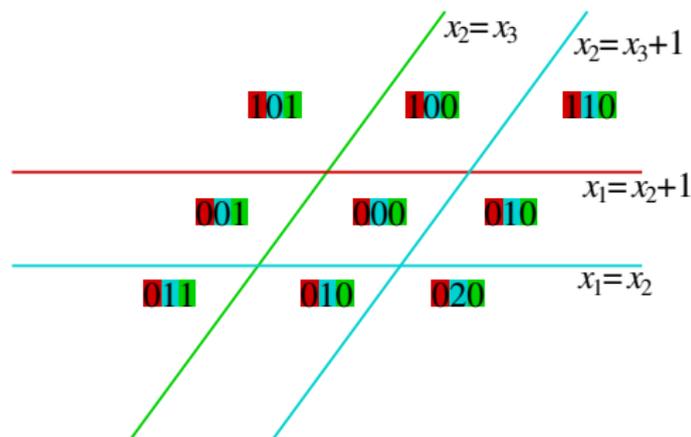
Labels

What if we put in the analogs of the Pak-Stanley labels?



Conjecture

0 0 0
 0 0 1
 0 1 0
 1 0 0
 0 1 1
 1 0 1
 1 1 0
 0 2 0

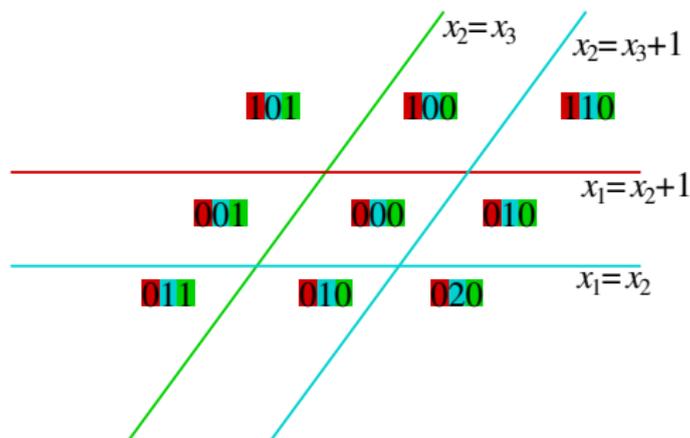


Conjecture

*There is a bijection between the $(0 * G)$ -parking functions and the set of different labels of the G-Shi arrangement.*

Maximal labels in G -Shi

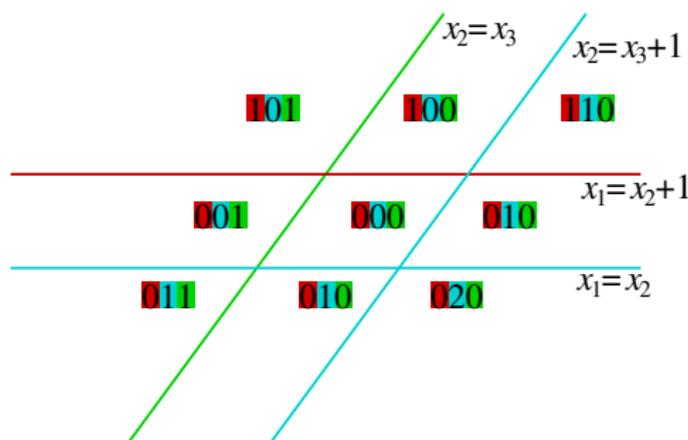
What are the maximal labels (maximum total weight) of the G -Shi arrangement?



Maximal labels in G -Shi

What are the maximal labels (maximum total weight) of the G -Shi arrangement?

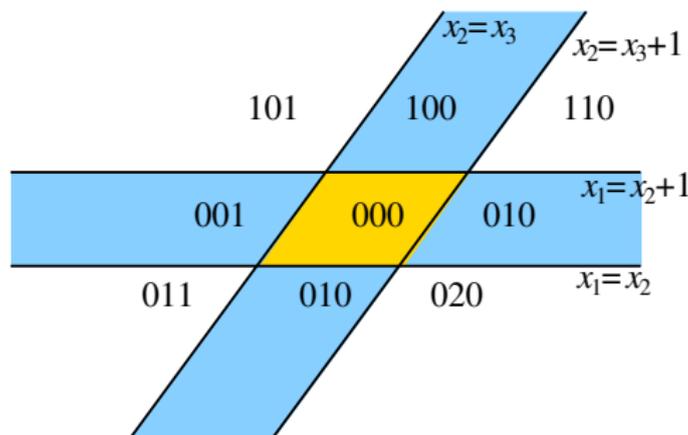
The regions can't be in any of the "middle slices"



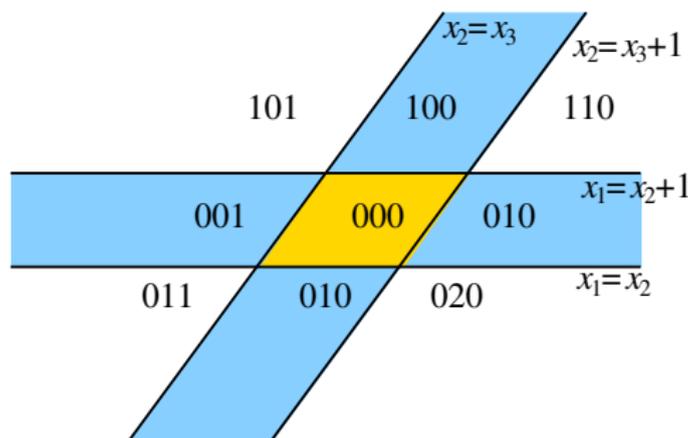
Maximal labels in G -Shi

What are the maximal labels (maximum total weight) of the G -Shi arrangement?

The regions can't be in any of the "middle slices"

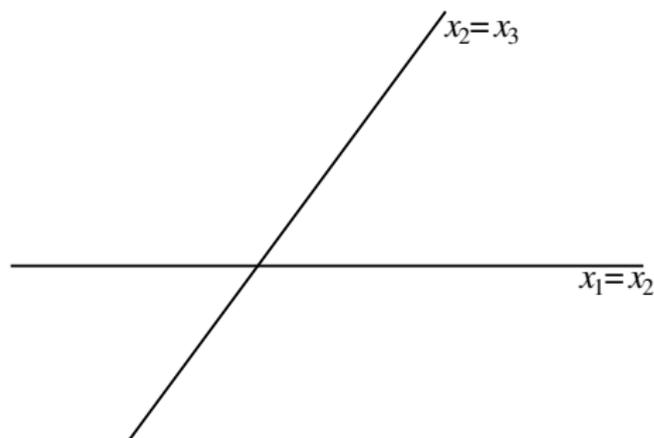


Graphical arrangement



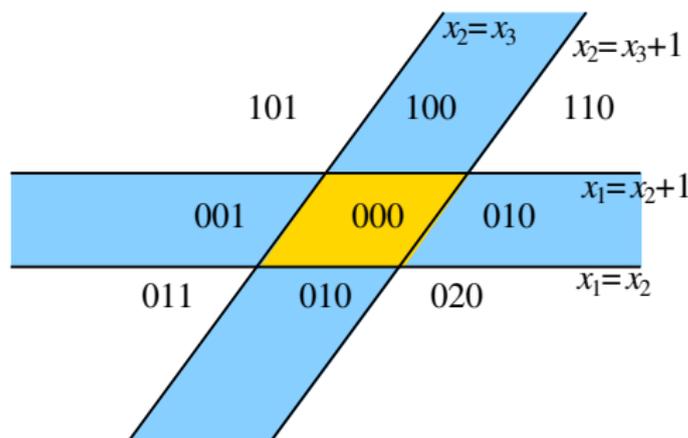
For every pair of parallel hyperplanes (corresponding to edge in graph), you have to be on one side or the other:

Graphical arrangement



For every pair of parallel hyperplanes (corresponding to edge in graph), you have to be on one side or the other: Graphical arrangement!

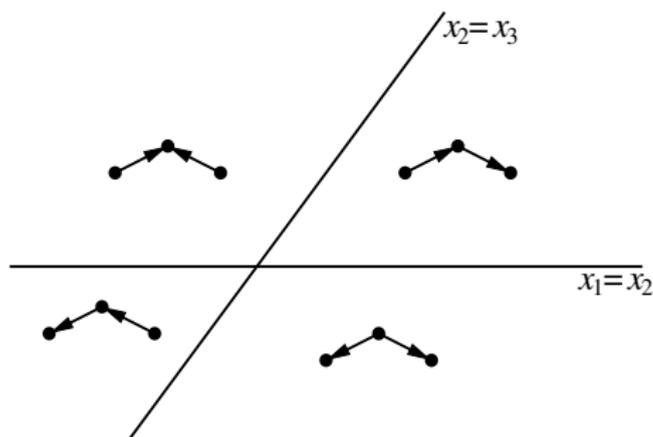
Graphical arrangement



For every pair of parallel hyperplanes (corresponding to edge in graph), you have to be on one side or the other: Graphical arrangement!

Weight goes up by one for every hyperplane crossed, so total weight is number of edges of G .

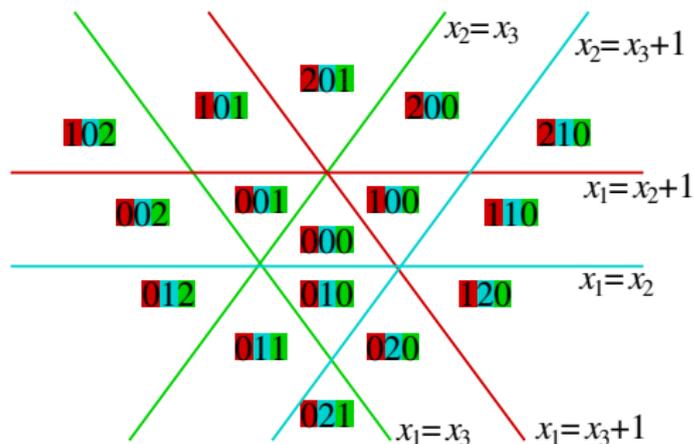
Acyclic orientations



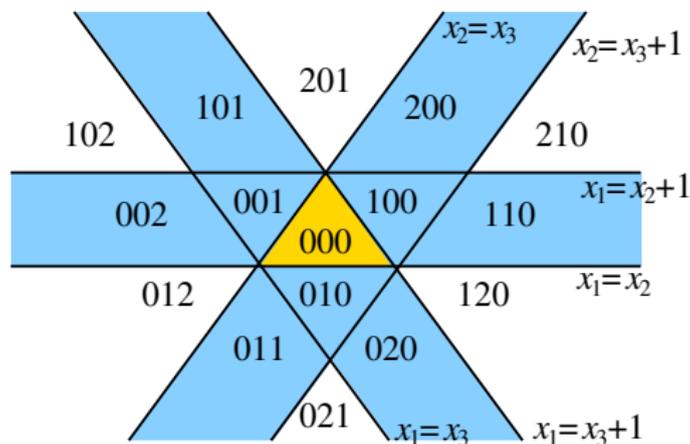
Regions of graphical arrangement correspond to acyclic orientations on graph (just like regions of braid arrangement correspond to permutations, which correspond to acyclic orientations of the complete graph).

So there is a natural bijection between maximal labels of the G -Shi arrangement and acyclic orientations of G .

Example: K_n again



Example: K_n again



Maximal G -parking functions

Theorem (Benson, Chakrabarty, Tetali, '10)

*Maximal $0 * G$ -parking functions also have weight equal to the number of edges of G , and correspond to acyclic orientations of G .*

Maximal G -parking functions

Theorem (Benson, Chakrabarty, Tetali, '10)

*Maximal $0 * G$ -parking functions also have weight equal to the number of edges of G , and correspond to acyclic orientations of G .*

Observation (Easy)

If f is a G -parking function, and $g(v) \leq f(v)$ for all v , then g is also a G -parking function

Proof.

Reducing the values of the parking function can only make it easier to satisfy the condition. □

Maximal G -parking functions

Theorem (Benson, Chakrabarty, Tetali, '10)

Maximal 0^ G -parking functions also have weight equal to the number of edges of G , and correspond to acyclic orientations of G .*

Observation (Easy)

If f is a G -parking function, and $g(v) \leq f(v)$ for all v , then g is also a G -parking function

Proof.

Reducing the values of the parking function can only make it easier to satisfy the condition. □

Consequence: If we could only show that labels also satisfy the easy observation, we'd be done.

Half the bijection

We can use this to easily show that every label g has a corresponding parking function:

There exists some maximal label f such that $g(v) \leq f(v)$ for all v ($g = f$ is possible). Since f is maximal, it corresponds to an acyclic orientation O . By BCT, we know O corresponds to a maximal parking function, so f is a maximal parking function. By the easy observation, g is also a parking function.

What about the other half?

We still need to show either [equivalently]:

- ▶ Every parking function is a label
- ▶ Labels satisfy the easy observation